

Attention: After Final Submission

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Buchwald, S.L.

Application No: 10/004,101

Filed: October 23, 2001

For: *Ligands For Metals and Improved
Metal-Catalyzed Processes Based
Thereon*

Examiner: Sackey, E.

Art Unit: 1626

Confirmation No.: 3983

Attorney Docket No.: MTV-014.03

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CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on November 5, 2003.



Devora Gavel

Mail Stop: AF

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 23313-1450

REQUEST FOR CONTINUED EXAMINATION
AND
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97(c)(2)

Sir:

Applicants hereby submit a Request for Continued Examination (RCE) under 37 C.F.R.

1.114(d) and an Information Disclosure Statement (IDS) under 37 C.F.R. 1.56. The

Commissioner is authorized to charge our deposit account, in the amount of \$770.00 for the RCE

filing fee and \$420.00 for a two month extension. The IDS submission follows the events recited

in 37 C.F.R. § 1.97(b)(4); therefore, no fee is believed due for the IDS at this time. However, if

there is any additional fee due in connection with either the filing of the RCE or IDS, the

USSN: 10/004,101

Atty Docket No.: MTV-014.03

Commissioner is hereby authorized to charge the additional fee as well to our Deposit Account, No. 06-1448, Reference MTV-014.03.

Listed on the enclosed Form PTO-1449 is a publication known to Applicants and their Attorney/Agent in compliance with the requirements of 37 C.F.R. 1.56. A copy of said publication is being submitted herewith. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Form PTO-1449.


This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies the cited documents as prior art against any claim in the application and Applicants determine that the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the referenced publications be applied against the claims of the present application.

Respectfully submitted,

November 5, 2003

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PTO/SB/30 (08-03)

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Request For Continued Examination (RCE) Transmittal

Address to:
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P.O. Box 1450
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Application Number	10/004,101
Filing Date	October 23, 2001
First Named Inventor	Buchwald, S.L.
Art Unit	1626
Examiner Name	Sackey, E.O.
Attorney Docket Number	MTV-014.03

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 C.F.R. 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
ii. ☐ Other _____

- b. ☒ Enclosed

- i. ☐ Amendment/Reply
ii. ☐ Affidavit(s)/Declaration(s)
iii. ☒ Information Disclosure Statement (IDS)
iv. ☐ Other _____

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. 1.17(i) required)
b. ☐ Other _____

3. **Fees** The RCE fee under 37 C.F.R. 1.17(e) is required by 37 C.F.R. 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 06-1448

- i. ☒ RCE fee required under 37 C.F.R. 1.17(e)
ii. ☒ Extension of time fee (37 C.F.R. 1.136 and 1.17)
iii. ☒ Other 2 mos. extension fee of \$420.00

- b. ☐ Check in the amount of \$ _____ enclosed

- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Michael J. DiVerdi	Registration No. (Attorney/Agent)	51,620
Signature	<i>Michael J. DiVerdi</i>	Date	November 5, 2003

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below:

Name (Print/Type)	Devora Gavel
Signature	<i>Devora Gavel</i>
Date	November 5, 2003

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.